

**投資者 / 股票交收指示表格**  
**INVESTOR/ SECURITIES SETTLEMENT INSTRUCTION FORM**

Date 日期:

<b>Account Name 帳戶名稱</b>	<b>Account No. 帳戶號碼</b>
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I/We hereby instruct your Company to

本人 / 吾等特此通知貴公司

**RECEIVE** from the respective account as specified below the following securities for my/our securities account in your Company

- 將下列股票由以下有關賬戶存入本人/吾等在貴公司持有之證券戶口

**DELIVER** the following securities from my/our securities account in your Company to the respective account as specified below

- 由本人/吾等在貴公司持有之證券戶口交付下列股票予以下有關賬戶

Name of Counter-part 對手經紀名稱		Counter-party/Investor CCASS I.D. No. 對手經紀/投資者中央結算代號	
Contact Person 聯絡人姓名		Tel No. 聯絡人電話	
Settlement Date 交收日期		Remarks 備註	
Please tick the appropriate box. 請於合適方格內剔			
股票號碼 Stock Code	股票名稱 Stock Name	股票數目 No. of Shares	交收方式 <sup>i</sup> Settlement Method
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付交收 DVP 港幣 HKD
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付交收 DVP 港幣 HKD
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付交收 DVP 港幣 HKD

I/We declare that there is no change in beneficial owner of the above securities for the above Transfer and agree and understand that your company needs time to process my/our instruction and reserves the rights to reject my/our instruction. I/We agree to pay the relevant fees determined by your company.

本人/吾等確認以上轉倉並沒有改變以上證券的實益擁有人並且同意及明白貴公司需要時間處理以上之指示並且保留權利拒絕本人/吾等的指示。本人/吾等同意支付由貴公司決定之有關費用。

\_\_\_\_\_  
AE / Client's Signature 經紀 / 客戶簽署

\_\_\_\_\_  
Date 日期:

**For office use only**

Remarks	
Signature Verified by:	Input by:
Approved by:	Checked by: